

The Statewide Financial System (SFS) is New York State’s centralized accounting and financial management computer system, including central and agency accounting, purchasing, accounts payable, travel, budget, billing, and other business systems.

The Enterprise Enhancement Phase 1 Project (EE1) is targeted for April 2015 implementation. EE1 will:

- Upgrade PeopleSoft and underlying technology;
- Add new features for projects and assets;
- Re-architect select business processes that constitute critical changes to support the enterprise;
- Retire Legacy Bulkload layouts and “direct entry” screens.

As part of this project the Department of Labor (DOL) will be “on-boarded” to SFS and pilot new project-related functionality.

It is important for you as an SFS User to understand the new system design and business process impact as well as targeted change areas.

EE1 Design Highlights

NEW MODULES

ESA (Enterprise Services Automation)

Implement Project Costing budgets and transition NYS01 federal grants draw process to new Project Costing, Grants and Contracts model.

Impact

- Lead agency (future statewide)

Conceptual Future State

- The Project budget becomes the control point for federal spending and the Customer Contract becomes the control point for the Federal grant Billing limits;
- Business rules related to Grants with multiple funding sources are stored in the system and applied; consistently on all transactions against the grant;
- Revenue contracts are entered as Customer Contracts including Contract Audit Module (CAM) integration.

Benefits

- Allows project-driven agencies to utilize delivered functionality to manage their Projects;
- Increases control, consistency, and automation to support federal grant management;
- Improved accuracy of grant related data, streamlining the federal draw process;
- Properly uses customers and customer contracts for revenue activities.

Cost Allocations and Labor Distribution

Impact

- Statewide

Conceptual Future State

- A statewide standard integration and reconciliation conceptual model that will accept distributed labor costs and hours into SFS;
- Establish a conceptual model using PeopleSoft Allocations, that can be adapted for agencies that need to record cost allocations against a federally funded grant.

Benefits

- Uses delivered functionality within SFS to support capturing of distributed labor and cost allocation plans to support federal reporting and draw processes;
- Time and labor costs distributed to projects are reconciled to PayServ, making sure accurate paid payroll costs are appropriately allocated to federal grants.

ALM (Asset Lifecycle Management)

Provide an Enterprise view of Statewide assets and capital inventory.

Impact

- Lead agency (future statewide)

Conceptual Future State: Item Master Expansion

- Using past purchase information (analysis ongoing);
- Known high-volume use of items from Marketplace catalogues;
- Expanded disaster response and recovery items;
- Statewide processes and policies around buying with items and the item master.

Benefits

- Supports procurement and inventory automation;
- Supports current and future enterprise initiatives (e.g., Marketplace);
- Item Master becoming the ‘dna’ of procurement; information for OGS, NYSPRO, BSC with future benefits realization Statewide.

Conceptual Future State: Real Estate Assets,

Leases and Inventory

- Inventory expanded to DMNA, DHSES disaster response and recovery stores;
- Real-estate assets and other capital assets maintained in PeopleSoft Asset Lifecycle Management (ALM);
- Establishes the State’s enterprise asset repository with the ability to depreciate assets and record accounting entries within SFS to support annual financial reporting;
- Lease administration managed in PeopleSoft Real Estate Management;
- Receivable and payable lease management with integration to AR and AP.

Benefits

- Integration of Leases with AP and AR provides consolidated controls and improved visibility to information;
- Provides a Statewide enterprise solution for assets and inventories to support agency needs.

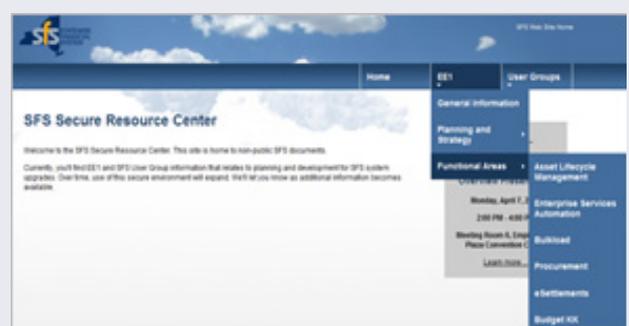
EE1 Key Dates

Date	EE1 Activity
April 2014	Finalized Design and Decisions
May 2014	Build and Unit Test Phase Begins
August 2014	Testing Phase Begins
September 2014	Bulkload Integration Testing Begins
September 2014	Other Integration Testing Begins
October 2014	User Acceptance Testing Begins
November 2014	User Training Begins
January 2015	Deployment Begins
January-early/ February 2015	Dispatch and Cutover Begins, Fiscal Year End Activities Begin
March 2015	Go-Live Support Begins

EE1 Details Online

Access detailed EE1 Project information, including Requirements and Fit-Gap documentation, within our new SFS Secure website.

Log in with your NY.gov ID credentials (via the State’s NYSDS authentication solution). Please note that staff access to SFS Secure is granted by each Agency, not SFS.



Visit us today at: www.sfs.ny.gov

EE1 Design Highlights

RE-ARCHITECTURE

Budget/Commitment Control (KK)

Standardize Statewide budget data and enable reservation of funding at time of requisition.

Impact

- Statewide

Conceptual Future State

- Load the current year financial plan into the DOB KK to budget, track and control activity to the plan;
 - New features include cumulative budgeting and planned spending;
 - EE1 team is working with DOB on the details of the DOB KK solution;
- Allow the full Segregation to be loaded;
 - Augment controls through reserving budget amounts and/or DOB workflow;
 - Enables the State to use the pre-encumbrance to support a funding reservation;
- Journal at the Seg. level and auto-update the Approp using "Generate Parent".

Benefits

- Load Segregation up front – reduced number of budget journals;
- All transactions at the Segregation level – move from 5-step to 1-step process;
- Supports procurement re-architecture and ESA;
- Supports policies to include all procurement transactions within the system.

Procurement

Aligns procurement processing with the delivered functionality within PeopleSoft while maintaining necessary contract audit and funding controls.

Impact

- Statewide

Conceptual Future State

- Procurement contract initiated via Requisition to pre-encumber funds and upon approval by all parties, systematically converted into a contract with lines;
- Uses delivered features that manage future year amounts, PO releases, and amendments;
- Funds are reserved in advance and OSC Bureau of Contracts approval is a one-step process not requiring a PO;
- Payment Schedule Projection (PSP) defines the cash impact of a procurement by distributing the PO Amount across quarters for a given fiscal year;
- The PSP is associated to the PO and budget checked, updating 'Planned' spending within DOB KK;
- The PSP is defaulted to the PO from agency, requisition, and contract setting;
- The Planned spending is compared to the quarterly cash budget and controlled by Commitment Control;
- The PSP can be adjusted and re-budget checked without touching the PO;
- The PSP supports carry-forward periods, fiscal year-end, and lapsing needs.

Benefits

- Fundamentally realigns use of procurement contracts to delivered PS best-practices, while eliminating many invasive customizations;
- Supports requisitioning from a contract to automate contract ordering and contractual best pricing agreements established with suppliers;
- Supports other NYS procurement initiatives such as the MarketPlace and Item Master;
- Clarifies the purpose of the purchase order, the dispatch of the PO, and contract controls;
- Control over procurements to the financial plan – actual and planned impacts;
- Visibility of future cash impacts and financial performance – supporting new metrics and policies;
- Provides tools for the State to manage fiscal accountability.

Bulkload Inbound

Impact

- Bulkload agency

Conceptual Future State

- Legacy format will be retired, including "Direct Entry" leaving only the PeopleSoft interface format to be supported;
- Procurement contract layouts will support contract lines and the business process will be re-architected to follow best practices;
- Revenue contracts will be manually captured in the Customer Contracts module;
- PeopleSoft layouts for procurement contracts and PO's will include fields to support PSP.

Benefits

- PeopleSoft format provides the flexibility to adopt expanded functionality which is needed in order to meet the changing needs of the State and reduces overall support cost;
- Procurement contract business process re-architecture will streamline the overall procurement process and improve procurement intelligence;
- Revenue contracts will be tracked and audited within the appropriate module;
- Bulkload agencies are able to record planned cash impacts of their procurements.

Bulkload Extracts

Provide Outbound Master File Extracts that source the data from native sources within the system in the same manner as other data warehouse and reporting initiatives.

Impact

- Bulkload extract user

Conceptual Future State

- Re-architect key Outbound Extracts (M161/M101) to move away from legacy concepts and provide data as it exists in the SFS application without manipulation to insure data accuracy and reliability;
- Provide unfiltered results where possible, facilitating maximum availability of information while maintaining data confidentiality requirements.

Benefits

- Provides consistent and reliable access to information that is reconcilable to the source(s) within SFS;
- Reduces the manual effort within each agency spent on reconciling data or researching missing transactions, allowing their resources to focus on other business initiatives for their agency.

E-Settlements

Provide a Statewide standard for electronic invoicing.

Impact

- Lead agency and statewide

Conceptual Future State

- To support the NYSPRO MarketPlace initiative, a standard integration to accept electronic invoices will be established utilizing eSettlements:
Statewide
- The standard integration will support accepting eInvoices that are tied to existing PO's and create vouchers in the AP module:
Pilot April 2015
- Vendors will also be able to enter invoices online within eSettlements if they are unable to systematically transmit them:
Pilot April 2015

Benefits

- Establishes a statewide standard integration to accept electronic invoices or vendor entered invoices to reduce the volume of paper invoices;
- Future ability to streamline the payables business process, including tighter integration with the BSC.